PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Docket No: Q86052

Takashi TAKEDA, et al.

Appln. No.: 10/525,014 Group Art Unit: 1755

Confirmation No.: 5022 Examiner: Carol M. KOSLOW

Filed: February 17, 2005

For: PHOSPHOR AND VACUUM ULTRAVIOLET RADIATION EXCITED LIGHT-EMITTING

DEVICE

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. §§ 1.97 and 1.98</u>

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

- 1. GB 1 254 110 A, published November 17, 1971 to Thorn Electrical Ind., Ltd.
- 2. JP 49-113784 A, published October 30, 1974 to (MATU) Matsushita Elec., Ind., Ltd.
- 3. JP 10-140149 A, published May 26, 1998 was previously filed on February 17, 2005.
- 4. H. Yamane, et al. "Crystal Structure and Thermal Expansion of Ca₂Y₂Si₂O₉", Materials Research Bulletin, Vol. 33, No. 6, (1998), pp. 845-853.
- 5. GB 2 054 951 A, published February 18, 1981 to Philips NV.

One copy of each of the listed documents is submitted herewith.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/525,014

Takashi TAKEDA, et al.

The present Information Disclosure Statement is being filed: (1) No later than three months from

Attorney Docket No.: Q86052

the application's filing date; (2) Before the mailing date of the first Office Action on the merits

(whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for

continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee

under 37 C.F.R. § 1.17(p) is required.

Applicant encloses herewith a copy of a Supplementary European Search Report dated July 27,

2007, citing such documents and indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such document

constitutes prior art against the claims of the present application. Applicant does not waive any right to

take any action that would be appropriate to antedate or otherwise remove any listed document as a

competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and

the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said

Deposit Account.

Respectfully submitted,

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: September 10, 2007

hn T. Callahan egistration No. 32,607

2

Substitute for Form 1449 A & B/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

| Complete if Known | | | | |
|------------------------|-------------------|--|--|--|
| Application Number | 10/525,014 | | | |
| Confirmation Number | 5022 | | | |
| Filing Date | February 17, 2005 | | | |
| First Named Inventor | Takashi TAKEDA | | | |
| Art Unit | 1755 | | | |
| Examiner Name | Carol M. KOSLOW | | | |
| Attorney Docket Number | O86052 | | | |

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|--------------------------|----------|-----------------------------------|------------------|---|
| Examiner Initials* | Cite No. ¹ | Document | Number | Publication Date | |
| | | Number | Kind Code ² (if known) | MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
| | | US | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|--------------------------|------------------------------|---------------------|-----------------------------------|------------------|-------------------------------------|--------------------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Publication Date | Name of Patentee or | |
| | | Country Code ³ | Number ⁴ | Kind Code ⁵ (if known) | MM-DD-YYYY | Applicant of Cited Document | Translation ⁶ |
| | | GB | 1 254 110 | Α | 11/17/1971 | Thorn Electrical Ind., Ltd. | |
| | | JP | 49-113784 | A | 10/30/1974 | (MATU) Matsushita Elec., Ind., Ltd. | |
| | | GB | 2 054 951 | A | 02/18/1981 | Philips NV | English Abstract |
| | | | | | | | |
| | | | | | | | |
| | | ļ | | | | | |
| | | | | | | | |
| | | 1 | | ļ | | | |
| | | 1 | | | | | |
| | | |] | | | 1 | |

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | Translation ⁶ |
|-----------------------|--------------------------|--|--------------------------|
| | | H. Yamane, et al. "Crystal Structure and Thermal Expansion of Ca ₂ Y ₂ Si ₂ O ₉ ", Materials Research Bulletin, Vol. 33, No. 6, (1998), pp. 845-853. | |
| | | | |
| | | | |
| | | | |

| 1 | |
|----------------|--------------|
| 1 | |
| 1 | |
| l | - |
| l Date Conside | red |
| Date Conside | icu |
| | Date Conside |

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.